



## SCANNER APPARATUS FOR SCANNING BANK CHECKS AND OTHER PAPER DOCUMENTS

### BACKGROUND OF THE INVENTION

#### Field of the Invention

- 5                   The present invention relates to a scanner apparatus for scanning bank checks and other paper documents, particularly documents in formats other than check format. The apparatus is intended for use particularly advantageously at a bank counter for scanning checks, payment receipts, and various documents.

#### Description of the Related Art

- 10                  Currently, there are known types of scanner apparatus for scanning bank checks, which comprise an input receptacle for receiving one or more checks to be scanned. The apparatus comprises a conveyor mechanism for conveying the checks, one at a time, from the input receptacle to an output receptacle, passing in front of an image-scanner unit for scanning one or both faces of the check.

### 15   BRIEF SUMMARY OF THE INVENTION

- The present invention proposes the provision of an apparatus having the characteristics set out in claim 1, which can scan both bank checks as well as paper documents of different formats, such as for example, paying-in slips or other documents which may relate to people, to current accounts, or to banking operations
- 20   having some correlation with the checks paid in.

### BRIEF DESCRIPTION OF THE DRAWINGS

                  Some preferred but non-limiting embodiments of the invention will now be described with reference to the appended drawings, in which:

Figure 1 is an overall perspective view of an apparatus according to an embodiment of the invention,

Figure 2 is a plan view of a check-scanning device forming part of the apparatus of Figure 1,

5           Figure 3 is a vertical section through a device, incorporated in the apparatus of Figure 1, for scanning other paper documents,

Figure 4 is a vertical section through an alternative embodiment of the device for scanning other paper documents, and

10           Figure 5 is a block diagram which shows the functional relationships between various components of the devices of an embodiment of the apparatus.

#### DETAILED DESCRIPTION OF THE INVENTION

A scanner apparatus according to a particular embodiment of the invention comprises (Figure 1) an outer casing 10 in the upper portion of which there is a device for scanning checks, whereas the lower portion houses a device for  
15   scanning paper documents of other types.

The portion of the device for scanning checks is shown schematically in Figure 2. One or more checks (not shown) are arranged vertically in an input receptacle 11 in which there is a feed plate 12 which urges the checks towards a pick-up and feed roller 13. A first photocell 14 detects the presence of a check in the input  
20   receptacle 11 and, by means of an electronic control unit ECU, (shown schematically solely in Figure 5), activates a first motor 15 for picking up the check and a second motor 16 for moving the check forwards. Once the first motor 15 has been activated, it sets the feed roller 13 in motion by means of a transmission belt 17. The roller 13 is  
25   mounted on a lever 18 which is moved towards the checks, from the position shown in solid outline to the position 13' shown in broken outline and, by rotating, causes one or more checks to move towards a pair of motor-driven separator rollers 19, 20. The separator roller 20, which is contrarotatory relative to the roller 19, separates the checks, allowing only the check that is disposed farthest to the right (with reference to

Figure 2) to move forward, pushing back the others. When the check which moves forward passes in front of a second photocell 21 and obscures it, a head 22 for reading magnetic characters printed on the front of the check, for example, a conventional electromagnetic induction head of the E13B/CMC7 type, is activated.

5 The magnetic head 22 is deactivated automatically by means of the photocell 21 when the tail-end of the check passes beyond that photocell. When the same photocell 21 is obscured, it also causes the motor 15 for picking up the checks to stop in order to space out the passing of the various checks and to prevent them from being supplied consecutively, closely following one another without gaps.

10 The second motor 16 moves the check forward, by means of a second toothed feed belt 23, from the reading region of the magnetic head 22 to a scanner device 24 where the check passes between a pair of substantially symmetrical image-scanning units 25, 26 each provided with a CIS (compact image sensor) optical sensor for scanning both faces (front and rear) of the check. Both the magnetic head  
15 22 and the scanner device 24 are known in the art and do not need to be described in detail herein.

Finally, the check is deposited in an output receptacle 27.

An important characteristic of the solution according to the present invention is that the same apparatus comprises a device for scanning other paper  
20 documents, advantageously also documents in A4 format.

A first embodiment of the device for scanning paper documents other than checks is shown schematically in Figure 3. One or more documents D, in this example, documents of A4 format, are deposited horizontally in an input receptacle 28 in the form of a tray which projects from a horizontal input slot 29 formed in the lower  
25 portion of the outer casing 10 on the side defined herein as the "front". The slot 29 preferably has a width (in the direction perpendicular to the direction of introduction of the documents D) greater than 210 mm to permit the introduction and scanning of documents in A4 format. The documents D are introduced into the slot 29 manually until they reach the region of a photocell 30 which detects the presence of a document

to be scanned. The photocell 30 brings about activation of an electromagnetic actuator 31 which moves a pressure roller 32 mounted on a lever 33 towards a motor-driven roller 34 for picking up the document. The document D, which is lower down, is urged into contact with the motor-driven roller 34 and is moved in the direction indicated by the arrow A, passing between a pair of contrarotating separator rollers 35, 36 which allow only one document to move forward at a time. The document D is then engaged by a first pair of motor-driven rollers 37, 38 which impart a constant speed of advance to the document. The document D passes in front of a scanner photocell 39 which, when it is obscured by the document, deactivates the electromagnetic actuator 31. The document D moves forward, over a deflector or switch 40 associated with a biasing spring (not shown) which urges the deflector in the counter-clockwise direction indicated in the drawing. The document D then passes beside an image scanner 41 provided with a CIS optical sensor for scanning one of the faces of the document. For this purpose, either a 210 mm CIS sensor arranged transverse the direction of movement of the documents so as to cover substantially the entire width of a sheet in A4 format or, alternatively, two 105 mm CIS sensors aligned transversely and connected to one another in cascade in accordance with methods known to persons skilled in the art, may equally well be used.

When the document has passed the image scanner 41, it is picked up by a second pair of motor-driven rollers 42, 43 and reaches an output receptacle, defined by a collecting tray 44, on the "rear" side of the casing 10.

In the preferred embodiment shown in Figure 3, the device for scanning paper documents D is arranged selectively to scan a single face or both faces (front and rear) of the document. The desired operative mode is selected by the user by means of a selection button (not shown).

For front/rear scanning, the illustrated scanner 41 is mounted on a carousel 45 rotatable about an axis perpendicular to the path of movement of the document and the second pair of motor-driven rollers 42, 43 can be operated in order

to rotate in the opposite direction to that which discharges the document onto the rear output receptacle 44.

Front/rear scanning takes place as follows:

The scanner photocell 39 is connected to an electronic control unit ECU (Figure 5) which is arranged automatically to bring about reversal of the drive of the motor-driven rollers 42, 43 and rotation of the carousel 45 through 180° when a predetermined period of time has elapsed after the moment at which the tail-end of the document D passed the scanner photocell 39. The two above-mentioned commands are imparted after a period of time such that the document D has completely passed the scanner 41 but is still engaged between the rollers 42, 43. The rotation of the carousel 45 through 180° causes the scanner 41 to be situated on the opposite side of the path of movement of the document in order to face the other face (the rear side) to be scanned, as indicated by 41'. By rotating in the opposite direction, the rollers 42, 43 cause the document to move backwards along its path, passing beside the scanner 41 (which will now be situated in the position 41' shown in broken outline in Figure 3). When the document D comes up against the deflector 40, it is guided along a deflected path 46 disposed beneath the path 47 followed by the document during the scanning of its front face. A third pair of motor-driven rollers 48, 49 is disposed on the deflected path 46 and conveys the document D into a front output receptacle 50 disposed beneath the input receptacle 28.

When the document passes beyond the photocell 39 along the deflected path 46 towards the output receptacle 50, the control unit ECU automatically brings about a further rotation of the carousel 45 through 180°, thus bringing the scanner 41 back to the position shown in solid outline. The apparatus is thus ready to scan a new document D and to repeat the above-described sequence of operations. If no further documents to be scanned are in the input receptacle, the apparatus is automatically put in a stand-by condition. Naturally, if operation solely for the front face of a document is selected, the carousel 45 will not be rotated from the position shown in solid outline.

Figure 4 shows schematically an alternative and simplified embodiment of the device for scanning paper documents other than checks. In the variant of Figure 4, a document, for example in A4 format, is deposited horizontally in a front input receptacle 28 defined by a tray which projects externally from a horizontal input slot 29 situated in the lower portion of the apparatus. The documents are introduced into the slot 29 manually one at a time until a photocell 30 is obscured. The obscuring of the photocell 30 brings about the rotation of a first and of a second pair of motor-driven rollers 37, 38 and 42, 43 which pull the document forward in the direction indicated by the arrow. The document thus passes over an image scanner 41 provided with a CIS optical sensor for scanning one of the faces of the document, and reaches a rear output receptacle or tray 44. The movement of the conveyor rollers 37, 38 and 42, 43 can be stopped automatically a predetermined period of time after the document has passed beyond the photocell 30.

The electronic control unit ECU supervises the operation of the apparatus as a whole and hence also the operation of all of the drive/actuator members and optical/electronic devices described above, as illustrated in the block diagram of Figure 5.

In particular, it will be appreciated that the apparatus of the invention uses:

- a single electronic control unit which controls the movement of all of the drive/actuator members both of the device for scanning checks and of the device for scanning other paper documents; the same unit ECU is also operatively connected to all of the photocells and the scanning means of both of the above-mentioned devices in order to receive therefrom the signals relating to the scanning of the checks and of the paper documents D;
- one single USB2-USB1 (universal serial bus) or Ethernet serial communication bus for transmitting the scanning data to a personal computer (PC) or to an external network, and
- a single electrical supply.

In other words, the apparatus according to the invention is a multifunctional apparatus that incorporates within it the functions which up to now have been performed by two different sets of apparatus. Instead, the apparatus of the present invention permits a considerable saving in costs and space since the control  
5 of the movement members of the two scanning devices, the control of the optical/electronic devices of both of the devices, and the transmission of the data detected by both of the devices are entrusted to a single processing unit and to a single communication bus which are common to both devices.

The information obtained by the two scanning devices of the apparatus  
10 can advantageously be managed by the PC which receives it in a manner such as to associate it and store it in a common file or in any case to "centralize" it in a suitable manner, according to the requirements of the user, for example, a bank. Naturally, reference to this possible field of application of the invention should not be interpreted in any way as limiting of the scope of the patent.

15 The invention is not intended to be limited to the embodiments described and illustrated herein, which should be considered as examples of embodiments of the apparatus; rather, the invention may be modified with regard to the form and arrangement of parts and to the details of construction and of operation. For example, image scanners arranged in pairs may be used on the paths followed by the  
20 documents inside the apparatus, for substantially simultaneous scanning of both faces (front and rear) of a document, as shown in Figure 2 in which a pair of scanners 25, 26 is provided for scanning both faces of a check.